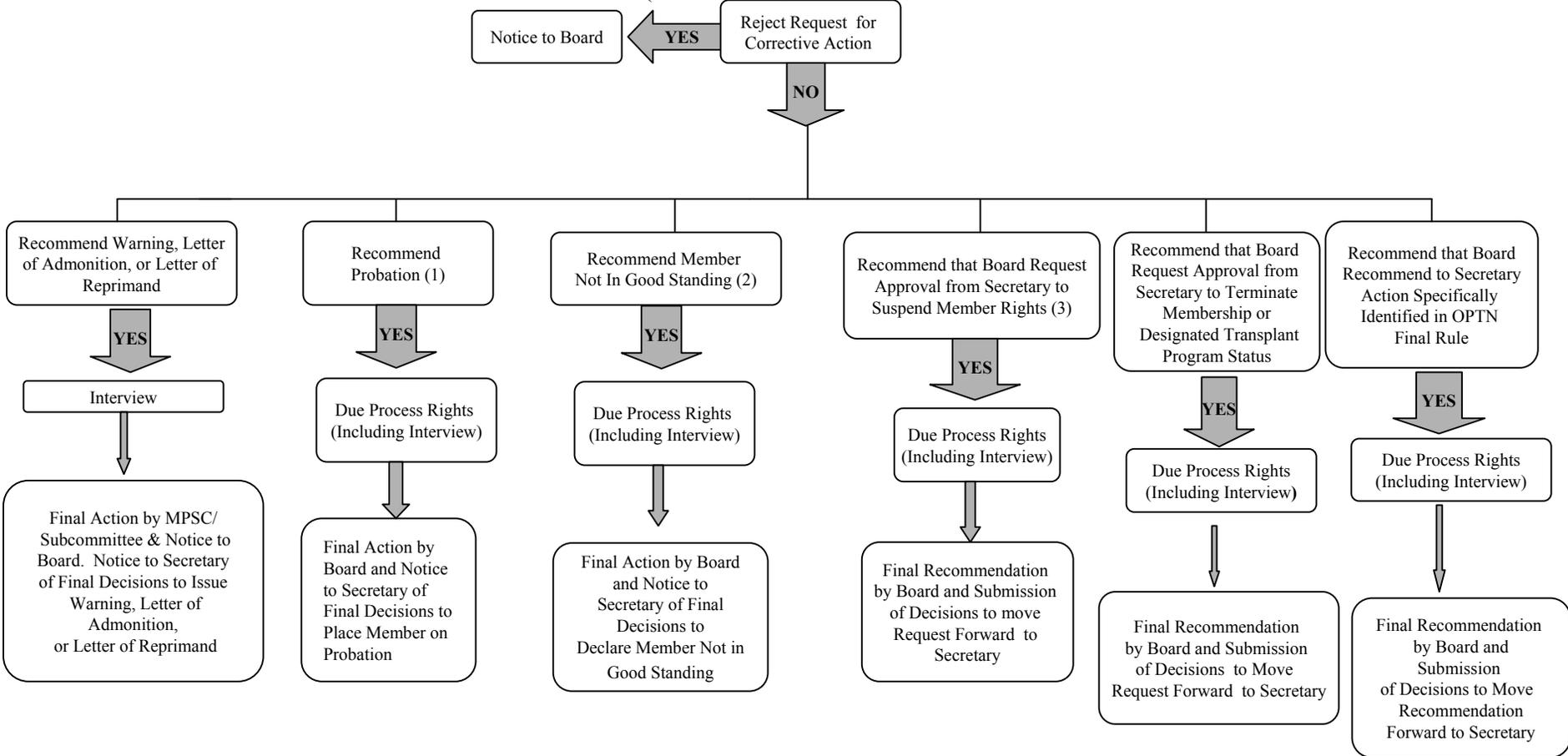


Figure A-2b

OPTN Policy Enforcement Options

Potential Violation of Policy Recommended by the OPTN to be Mandatory & Designated by the Secretary of HHS as Covered by Section 1138 of the Social Security Act/Referral to MPSC (Subcommittee)



(1) Probation might include, for example, one or more of the following: (a) required submission of a compliance action plan or plan of correction developed to specifications as may be defined by the MPSC/MPSC-PCSC, with demonstration to the MPSC/MPSC-PCSC of adherence to the plan and correction of any non-compliant activity within some period of time; (b) unscheduled on-site audit(s) throughout the period of probation, to be performed by UNOS audit staff at the sole reasonable cost and expense of the Member; (c) required submission of reports, data, or other evidence to UNOS documenting correction of the non-compliant activity throughout the period of probation.

(2) Member Not in Good Standing might include, for example, one or more of the following: (a) withdrawal of voting rights in OPTN affairs; (b) suspension of ability of affiliated personnel to sit on Committees or Board of Directors or hold office; (c) notification to OPTN Membership, State Representatives in Member's state, and/or patients and general public in Member's area.

(3) Suspension of membership rights might include, for example, one or more of the following: (a) suspension of rights to hold office and/or sit on OPTN/UNOS Board of Directors or Committees; (b) suspension of rights to vote in OPTN affairs; (c) suspension of rights to receive all organ offers or offers of particular organ types for transplantation and related services; (d) suspension of rights to list all patients or patients in need of particular organ types on the Patient Waiting List.